



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/843,922	04/30/2001	Masayuki Fukumura	4001-0003CIP

CONFIRMATION NO. 2336

## FORMALITIES LETTER



\*OC000000006156461\*

SHANKS & HERBERT  
TransPotomac Plaza  
1033 N. Fairfax Street, Suite 306  
Alexandria, VA 22314

Date Mailed: 06/07/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/31/2001 EABUBAK1 00000099 09843922

01 FC:101  
02 FC:105

710.00 OP  
130.00 OP



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

*See file*

In re Application of:

Masayuki Fukumura, et al.

Serial No. 09/843,922

Filed: April 30, 2001

For: Negative-Sense RNA Virus Vector For  
Nerve Cell

Art Unit: 1636

Examiner: To Be Assigned

Atty. Docket: 4001-0003CIP

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

Assistant Commissioner of Patents  
Washington, D.C. 20231

Attention: Manager, Application Branch

Sir:

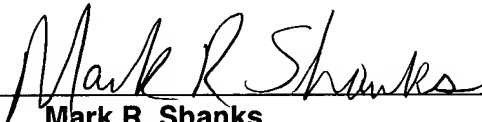
In response to the communication of June 7, 2001, Applicants submit a Declaration/Power of Attorney, Assignment, Certified Priority Document, Petition for One Month Extension of Time, a copy of the Notice of Missing Parts, and the required fee of \$990.00 (\$710.00 Basic Filing Fee; \$130.00 Late Filing Fee; \$110.00 Extension of Time One Month Fee; and \$40.00 Assignment Recordation Fee) for filing in the above-identified application.

Please associate the enclosed declaration with the above-identified application and record the Assignment.

If there are any other fees due in connection with the filing of this response, please charge the fees to our Deposit Account No. 50-0622. If a fee is required for an extension of time under 37 C.F.R. § 1.136 not accounted for above, such an extension is requested and the fee should also be charged to our Deposit Account.

Respectfully submitted,

SHANKS & HERBERT

By:   
**Mark R. Shanks**  
Reg. No. 33,781

Date: August 30, 2001

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